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File No.: 9-13528-170US

August 14, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Nortel Networks Limited

Serial No.: 09/899,265

Filed: July 6, 2001

Title: POLICY-BASED FORWARDING IN OPEN SHORTEST
PATH FIRST (OSPF) NETWORKS

Agent of Record: Kent Daniels

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Assistant Commissioner for Patents
Washington, D.C. 20231
U.S.A.

Sir:

INFORMATION DISCLOSURE STATEMENT
PRIOR TO FIRST OFFICE ACTION

Pursuant to the duty of disclosure under 37 CFR 1.56, copies of the references listed on the attached PTO Form 1449 are submitted herewith.

The Examiner is kindly requested to consider these references during the examination of the above-identified application, making the references of record, and to return an initialed copy of the PTO-1449 Form to the below-signed agent.

In accordance with 37 CFR 1.97(h), the submission of the present information is not to be construed as an admission that such information is, or is considered to be material to patentability.

Respectfully submitted,

By: K. Daniels

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Encls.





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Sheet 1 of 1

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| Form PTO-1449 (Rev.7-80) | U.S. Department of Commerce Patent & Trademark Office | ATTY. DOCKET NO. 9-13528-170US | SERIAL NO. 09/899,265 |
| LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary) | | APPLICANT Nortel Networks Limited | |
| | | FILING DATE: July 6, 2001 | GROUP |

U.S. PATENT DOCUMENTS

| *Examiner Initial | | Document Number | Date | Name | Class | Subclass | Filing Date |
|-------------------|----|-----------------|------|------|-------|----------|-------------|
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
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| | AJ | | | | | | |
| | AK | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | Document number | Date | Country | Class | Subclass | Translation |
|--|----|-----------------|------|---------|-------|----------|-------------|
| | AL | | | | | | |
| | AM | | | | | | |
| | AN | | | | | | |
| | AO | | | | | | |
| | AP | | | | | | |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|--|----|--|
| | AR | Enke Chen: Internet Draft – Address Prefix Based Outbound Route Filter for BGP-4, Expiration Date April 2001 |
| | AS | Radia Perlman: Article – A Comparison Between Two Routing Protocols: OSPF and IS-IS, dated September 1991 |
| | AT | |

| | |
|---|-----------------|
| Examiner | Date considered |
| *Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |